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CF-24 04-2201

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrew H. Pritchard

Application No.: 09/927,628 Confirmation No.: 5363

Filed : August 10, 2001

For : SYSTEMS AND METHODS FOR DEVELOPING AND  
ADMINISTERING INVESTMENT TRUSTS

Group Art Unit : 2162

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Examiner : Not Yet Assigned

JAN 23 2002

Technology Center 2100

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202New York, New York  
January 8, 2002TRANSMITTAL LETTER FOR  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application.

This Statement is submitted:

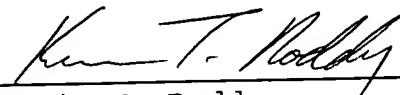
[ ] within three months of the application filing date;

[X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit

Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

  
Kevin T. Roddy

Kevin T. Roddy  
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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to ASSISTANT COMMISSIONER FOR PATENTS - P.O. Box 2327 Animation, VA 22202 USA

January 8, 2002  
Dale M. Bainbridge  
Dale M. Bainbridge  
Signature of Person Signing

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CF-24	APPLICATION NO. 09/927,628
OIR SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Andrew H. Pritchard	CONFIRMATION NO. 5363
		FILING DATE August 10, 2001	GROUP 2162

*JAN 15 2002*

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,088,685	07/11/2000	Kiron et al.	705	36	

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.